

## The Function of =(w)a in Karachay-Balkar

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Karachay-Balkar has a clitic =(w)a. Previous studies describe that =(w)a has a contradictory conjunctive usage and a request usage. This paper proposes that =(w)a functions as a topic shifter, and the usages described in previous studies are derived from this function. This approach allows for a unified explanation of the multiple usages of =(w)a.

Keywords: Karachay-Balkar, =(w)a, topic shifter

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### 1. Introduction\*

#### 1.1. =(w)a in Karachay-Balkar

Karachay-Balkar is a Northwest Turkic language. It is mainly spoken in the Kabardino-Balkaria Republic and the Karachay-Cherkessia Republic in Caucasia. It is also spoken in some places in Turkey (e.g., Eskişehir, Konya) by immigrants from Caucasia and their descendants.

Karachay-Balkar has a clitic =(w)a. This clitic realizes as =a if the preceding segment is a consonant (see (1)). =(w)a does not alternate via vowel harmony and does not take an accent. The accent always falls into the preceding syllable (e.g., *sén=a*).

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\* In this paper, the Turkish alphabet is partially used to write Karachay-Balkar. Letters that deviate particularly from the International Phonetic Alphabet and their phonetic values are as follows: c [dʒ], ç [tʃ], ğ [g], ı [u], j [ʒ], and ş [ʃ]. This work was supported by the Leading Initiative for Excellent Young Researchers (MEXT, Japan) and JSPS KAKENHI grant number 21K12980. I would like to express my deepest thanks to the language consultant and the two reviewers.

- (1) *sen=a*, \**sen=wa*  
 you=WA

As we will see in the section 2, previous studies have stated that  $=(w)a$  has a contradictory conjunctive usage and a request usage. This paper proposes that  $=(w)a$  is a topic shifter, and the usages described in the previous studies are derived from this function. This approach makes it possible to provide a unified explanation of the usages of  $=(w)a$ .

## 1.2. Data sources

In addition to data obtained from previous studies, this study obtained data from elicitation research with a language consultant and from the textual sources shown in (2). The language consultant is male, and born in Eskişehir, Turkey in 1973. He is a bilingual speaker of Karachay-Balkar and Turkish, but his first language is Karachay-Balkar. He regularly speaks Karachay-Balkar in conversations with relatives and within the Karachay-Balkar community. His grandparents are native speakers of Karachay-Balkar who immigrated to Turkey from Russia.

- (2) Textual sources
- a. *Алиса Къужур Дунианы Къыдырады*: Karachay-Balkar version of *Alice in Wonderland*, published by Evertype in 2019.
  - b. <http://gazeta.zamankbr.ru/>: Karachay-Balkar newspaper, and
  - c. [https://live.bible.is/bible/KRCLIO/MAT/1?audio\\_type=audio\\_drama](https://live.bible.is/bible/KRCLIO/MAT/1?audio_type=audio_drama): New Testament written in Karachay-Balkar.

## 2. Previous studies

In this section, we examine how previous studies have described  $=(w)a$ . Briefly, previous studies indicate a twofold usage for  $=(w)a$ .

First, the Karachay-Balkar and Russian dictionary (Tenishev 2013: 694) lists *a* ('but, and'), *но* ('but'), and *однако* ('but') as translations for  $=(w)a$ . Tenishev (2013: 694) also provides example sentences containing  $=(w)a$ , showing that  $=(w)a$  is used when two components stand in a contrastive or contradictory conjunctive relation, and it always appears after the second component, as shown in (3) to (6) below.<sup>1</sup>

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<sup>1</sup> The language consultant commented that  $=(w)a$  is not obligatory in all the examples throughout this paper, that it can be added optionally, and that  $=(w)a$  gives the impression that the meaning of the sentence is emphasized. As discussed in section four onward, this paper argues that  $=(w)a$  is a topic shifter. It can be said that the emphasis that the consultant commented is an emphasis on the fact that the topic has shifted by using  $=(w)a$ .

- (3) *men anı kör-dü-m seni =wa kör-me-di-m.*  
 I he/she.ACC see-PST-1SG you.ACC =WA see-NEG-PST-1SG  
 ‘I saw him/her, **but** I did not see you.’
- (4) *alğın biz el-de çaşa-y e-di-k,*  
 once we village-LOC live-NPST COP-PST-1PL  
*endi =wa şahar-da=bız.*  
 now =WA city-LOC=1PL  
 ‘We used to live in the village, **but** now we live in the city.’
- (5) *kat-ım-a kel-di,*  
 side-1SG.POSS-DAT come-PST  
*söleş-irge =wa tawkel bol-ma-di.*  
 talk-NML =WA be encouraged-NEG-PST  
 ‘He/she came to my side, **but** he/she did not have the courage to speak to me.’
- (6) *alğa ol kes-i kir-di,*  
 first he oneself-3.POSS enter-PST  
*ız-ı bla =wa talay adam.*  
 after-3.POSS with =WA many people  
 ‘He/she him/herself was the first to enter, **and after that**, a number of people (entered).’

Other previous studies also state that =(w)a is used when two components are in a contrastive or contradictory conjunctive relation, as shown in (7) to (12). Baskakov (1976: 300–303), Baskakov et al. (1966: 354–355), Aliev (1972: 230), and Sozajlany and Sozajlany (2005: 61–64) consider =(w)a to be a contradictory conjunction marker (*противительные союзы* or *къаршычы байлам* (*karşıçı baylam*)), that is used when two components or sentences are in a contrastive or contradictory conjunctive relation. This paper refers to these usages as contradictory conjunctive usages.

- (7) *biyağında men Aslan-nı kör-dü-m*  
 a little while ago I PN-ACC see-PST-1SG  
*Osman-nı =wa esle-me-di-m.*  
 PN-ACC =WA realize-NEG-PST-1SG  
 ‘A little while ago, I saw Aslan but did not realize Osman.’

[Baskakov 1966: 303]

- (8) *Roza ders-ler-i-n et-erge oltur-du,*  
 PN lesson-PL-3.POSS-ACC do-NML sit down-PST  
*Muslimat =a kabır-ğa gazet-ni caraşdır-a=di.*  
 PN =WA wall-DAT newspaper-ACC tape-NPST=3  
 ‘Rosa sat down to take a class, and Muslimat tapes the newspaper to the wall.’  
 [Baskakov 1966: 303]
- (9) *Ayşat kel-ib kör-üb ket-di, Hızır =a*  
 PN come-CNV see-CNV leave-PST PN =WA  
*alkın kel-ib ana-sı-n kör-al-ma-ğan=di.*  
 yet come-CNV mother-3.POSS-ACC see-POT-NEG-PERF=3  
 ‘Ayşat came to see and then left. Hızır has not yet been able to come and see her mother.’  
 [Aliev 1972: 254]
- (10) *ana-sı oltur-a=di,*  
 mother-3.POSS sit down-NPST=3  
*kız =a tohta-ma-y işle-y=di.*  
 daughter =WA stop-NEG-CNV work-NPST=3  
 ‘Mother sits, daughter works without stopping.’  
 [Sozajlany and Sozajlany 2005: 61]
- (11) *kün tiy-di, kızdır-ğan =a et-me-di.*  
 sun rise-PST heat up-NML =WA do-NEG-PST  
 ‘The sun rose, but it did not warm up.’  
 [Aliev 1972: 230]
- (12) *men bugün beş al-ğan=ma, sen =a?*  
 I today five get-PERF=1SG you =WA  
 ‘I scored five points today. How about you?’  
 [Sozajlany and Sozajlany 2005: 64]

In addition to the contradictory conjunctive usage, previous studies (Urusbiev 1963: 180, Baskakov 1976: 234–235, and Axmatov and Ketenchiev 2003: 19) also describe the use of *=(w)a* for requests, wherein *=(w)a* follows the conditional suffix *-sA*, and the sentence has an interrogative intonation, as shown in (13) and (14) below.

- (13) *biz-ge bir kesek boluş-sa-ğiz =a?*  
 we-DAT one a little help-CON-2PL =WA  
 ‘Why do not you help us a little?’

[Axmatov and Ketenchiev 2003: 19]

- (14) *ala =da munda kal-sa-la =wa?*  
 they =ADD here.LOC stay-CON-PL =WA  
 ‘Would not it be nice if they also stayed here?’

[Axmatov and Ketenchiev 2003: 19]

Thus, previous studies describe a twofold usage for =(w)a: 1) contradictory conjunction and 2) request. However, some data that this study obtained did not fit with these usages. The next section presents such data.

### 3. Other usages of =(w)a

#### 3.1. Noncontradictory conjunctive usage

This section presents examples that do not co-occur with the conditional suffix *-sa*, which corresponds to (3) to (12). In (3) to (12), =(w)a connects two sentences that are in a contrastive relationship or a contradictory conjunctive relationship. In fact, the language consultant commented that =(w)a in (3) to (5) and (7) to (11) can be replaced by *amma* (‘but’), representing a contradictory conjunction as shown in (15):

- (15) a. *men ani kör-dü-m amma seni kör-me-di-m.* cf. (3)  
 I he/she.ACC see-PST-1SG but you.ACC see-NEG-PST-1SG  
 ‘I saw him/her, but I did not see you.’

- b. *ana-sı oltur-a=di,* cf. (10)  
 mother-3.POSS sit down-NPST=3  
*amma kız tohta-ma-y işle-y=di.*  
 but daughter stop-NEG-NPST work-NPST=3  
 ‘Mother sits, but daughter works without stopping.’

- c. *Kün tiy-di, amma kızdır-ğan et-me-di.* cf. (11)  
 sun rise-PST but heat up-NML do-NEG-PST  
 ‘The sun rose, but it did not warm up.’

The language consultant further added that in (6) and (12), =(w)a cannot be replaced by *amma*. Nevertheless, the components with =(w)a in these sentences are to be compared

with the antecedent parts. Therefore, these sentences can be considered to possess contrastive meaning. To determine whether a sentence has a contrastive meaning, this paper adopts Numata's (2000: 161, 2009: 49) concept of *koobunteki dooruisee* (*sentence structure similarity*), which is developed in her study on the Japanese focus particle (i.e., *Toritae-shi*).<sup>2</sup> In Japanese, contrastive meaning is indicated by a focus particle, and Numata (2000: 161, 2009: 49) argues that two components in a contrastive relation must satisfy all conditions shown in (16) below, which constitute the sentence structure similarity.

- (16) Sentence structure similarity in Numata (2000: 161, 2009: 49)
- Two components in a contrastive relationship
- (i) must be the same part of speech;
  - (ii) must be semantically or contextually similar;
  - (iii) must have the same case marking if they are nouns; and
  - (iv) must have identical, semantically, or contextually similar predicates.

In (6), *alğa* 'first' and *ız-ı bla* 'after' are adverbs and share the same predicate *kir-* 'enter' although this is omitted in the second sentence. Similarly, *men* 'I' and *sen* 'you' in (12) are nominative pronouns, and they share the predicate *al-* 'get' although it is omitted in the second sentence here too. Thus, (6) and (12) satisfy all of the conditions outlined in (16).

More precisely, as a reviewer noted out, sentence structure similarities in Numata (2000: 161, 2009: 49) do not relate not only to contrastive sentences but also to all sentences that contain the focus particle. Thus, sentence structure similarity is a sufficient condition, not a necessary condition for a contrastive meaning. As a necessary condition for contrastive meaning, we adopt Noda's (2019: 10) definition of *han-ruiji* (i.e., *anti-similarity*). Anti-similarity is a contrastive meaning that is brought about by the Japanese focus particle. Simply put, anti-similarity refers to cases of two or more elements, e.g., A, B, C, where we can say "A is X" but cannot say the same for B or C.<sup>3</sup>

Example (6) has an anti-similar meaning, as it implies that 'a number of people entered' can be said about *ız-ı bla* 'after' but not about *alğa* 'first.' It is somewhat difficult to establish whether example (12) has an anti-similar meaning, but at the very least, it is unclear to the speaker how many points the hearer has scored. In other words, the speaker assumes that the hearer might have had a number other than five points. Thus, we can say that example (12) has an anti-similar meaning, in that the speaker assumes that the hearer's situation may be different from the speaker's own situation.

<sup>2</sup> The term "focus" in Lambrecht (1994: 206) is taken to mean new information, but the term "focus particle" here has nothing to do with that. It has the meaning of highlighting some information.

<sup>3</sup> More precisely, A, B, and C must be semantically or contextually in the same category, as is ensured by the sentence structure similarity.

To sum, almost all the instances of =(w)a in sentences found in previous studies can be replaced by *amma*, and even where this is not possible, the sentences that contain =(w)a have the sentence structure similarity and the meaning of anti-similarity. Thus, in these sentences, =(w)a functions to show a contradictory conjunctive relationship or a contrasting one.

In fact, however, Tenishev (2013) provides an example, shown in (17), which cannot be strictly regarded as conveying contradictory conjunctive relation. Moreover, I obtained textual data that contain =(w)a and are unlikely to have a contrastive or contradictory conjunctive meaning, as shown in (18) to (20).

- (17) *Ata-m bu iş-ni una-ma-y=di,*  
 father-1SG.POSS this job-ACC approve-NEG-NPST=3  
*ata söz-ü =wa maña bağalı=di.*  
 father word-3.POSS =WA I.DAT important=3  
 ‘My father did not approve of this work, and his words are important to me.’
- (18) *Allay cumuşa-çık=di sırt-ı-n sıla-rığ-ıñ kel-ir!*  
 such soft-DIM=3 back-3.POSS-ACC pet-FUT-2SG.POSS come-AOR  
*Çıçhan-la-nı =wa neçik tut-a=di, neçik cut-a=di!*  
 mouse-PL-ACC =WA how catch-NPST=3 how swallow-NPST=3  
 ‘(Alice’s female cat) is such a nice soft thing, she makes you want to pet her back!  
 How well she catches the mice how well she swallows them!’
- (19) *Zal-nı tögereg-i-nde eki-nçi kere aylan-ıb*  
 corridor-GEN around-3.POSS-LOC two-ORD time round-CNV  
*çığ-a=di=da, endi-ge deri esle-me-y*  
 come out-NPST=3=CONS now-DAT until realize-NEG-CNV  
*tur-ğan cabıw-nu kör-e=di,*  
 stand.AUX-NML curtain-ACC see-NPST=3  
*ol cabıw-nu art-ı-nda =wa bir gitçe eşik-çık.*  
 that curtain-GEN behind-3.POSS =WA one small door-DIM  
 ‘(Alice) goes around the hallway again and finds a low curtain that she had not noticed before. On the other side of the curtain was a small door.’
- (20) *Şeşa-çık-nı boyun-u-na bir kağıt-çık baylan-ıb=di,*  
 bottle-DIM-GEN neck-3.POSS-DAT one paper-DIM tied-CNV=3  
*ol kağıt-çık-da =wa omak harf-la bla*  
 that paper-DIM-LOC =WA beautiful letter-PL with

caz-ıl-ıb=dı:                    «MENİ    İÇ».  
 write-PASS-CNV=3    I.ACC        drink.IMP

‘Round the neck of the bottle was a paper label, with the words “DRINK ME”  
 beautifully printed on it in beautiful letters.’

According to the language consultant, =(w)a in (17) to (20), cannot be replaced by *amma*. Furthermore, the sentences in (17) to (20) lack the sentence structure similarity. First, if =(w)a in (17) conveys a contrastive relation, then the component with =(w)a (i.e., *ata söz-ü* ‘father’s word’) would be compared to the antecedent component, and these components would have the sentence structure similarity. However, such antecedents cannot be found. It is possible that *ata-m* ‘my father’ that is the same nominative noun as *ata söz-ü* ‘father’s word’, is the antecedent component, but this does not satisfy the condition for the sentence structure similarity because it is hard to believe that these components have identical or semantically similar predicates; The predicate for *ata-m* ‘my father’ is the father’s action (i.e., *una-ma-* ‘not to approve’) while the predicate of *ata söz-ü* ‘father’s word’ is an adjective that expresses the speaker’s evaluation (i.e., *bağal* ‘important’). Similarly, in (18) and (19), it is possible that *sirt-i-n* ‘her back (ACC)’ and *zal-ni tögereg-i-nde* ‘around the hallway’ are the antecedent components because they are the same accusative and locative nouns as *çıçhan-la-nı* ‘the mice (ACC)’ and *ol cabıw-nu art-ı-nda* ‘on the other side of the curtain’. However, it is hard to believe that these components have identical or semantically similar predicates. In (18), the predicate for *sirt-i-n* ‘her back (ACC)’ is the hearer’s action (i.e., *sıla-* ‘to pet’) while the predicate for *çıçhan-la-nı* ‘the mice (ACC)’ is the cat’s action (i.e., *tut-* ‘to catch’, *cut-* ‘to swallow’). In (19), the predicate for *zal-ni tögereg-i-nde* ‘around the hallway’ is Alice’s action (e.g., *çığ-* ‘to come out’, *kör-* ‘to see’), while the predicate for *ol cabıw-nu art-ı-nda* ‘on the other side of the curtain’ is omitted in this sentence but is something that indicates the presence of a door (e.g., *bar* ‘exist’). Finally, the locative noun phrase *ol kağıt-çık-da =wa* ‘on the paper’ in (20) does not have a locative noun phrase in common with the preceding context. That is, it lacks any counterpart for a contrastive relationship. Of course, it is difficult to determine whether the given predicates are semantically similar, due to the gradient nature of the similarity. However, we can at least say that sentences (17) to (20) lack contrastive meaning when they are compared to the following sentences.

(21) a. (Compare to (17))

<i>ana</i>	<i>söz-ü</i>	<i>bağalı</i>	<i>tüüyül=dü</i>
mother	word-3.POSS	important	NEG=3
<i>amma</i>	<i>ata söz-ü</i>	<b>=wa</b>	<i>bağalı=dı.</i>
but	father word-3.POSS	=WA	important=3



‘My mother’s words are not important, but my fathers are.’

b. (Compare to (18))

*kamjak-la-ni tut-ma-y=di,*  
 bug-PL-ACC catch-NEG-NPST=3  
*amma çiçhan-la-ni =wa tut-a=di.*  
 but mouse-PL-ACC =WA catch-NPST=3  
 ‘(This cat) does not catch the bugs; but catches the mice.’

c. (Compare to (19))

*bu kağıt-çık-da cuk cok=du,*  
 this paper-DIM-LOC nothing absent=3  
*amma ol kağıt-çık-da =wa X bar=di.*  
 but that paper-DIM-LOC =WA X exist=3  
 ‘There is nothing on this paper, but there is an X on that paper.’

d. (Compare to (20))

*bu cabıw-ni art-ı-nda eşik cok=du,*  
 this curtain-GEN behind-3.POSS-LOC door absent=3  
*amma ol cabıw-ni art-ı-nda =wa bar=di.*  
 but that curtain-GEN behind-3.POSS-LOC =WA exist=3  
 ‘There was no door behind this curtain, but there was one behind that curtain.’

### 3.2. Nonrequest usage

This section presents examples that co-occurring with the conditional suffix  $-sA$ . As shown in (13) and (14), in the literature, the  $-sA=\langle w \rangle a$  construction is accompanied by an interrogative intonation, and the utterance functions as a request. However, in the following sentence,  $=\langle w \rangle a$  is not accompanied by an interrogative intonation and the utterance does not request any action from others. Here,  $=\langle w \rangle a$  is simply attached to the conditional clause of the hypothetical sentence.

- (22) *Buğa-ni Mazan korat-ma-y, başha adam korat-han=e-se =wa*  
 cow-ACC PN steel-NEG-CNV other person steel-PERF=COP-CON =WA  
*de-gen sağış-la et-il-e.*  
 say-NML idea-PL do-PASS-NPST  
 ‘The idea that if not Mazan but others had stolen the cows is raised.’

- (23) *Seni karındaş-ıñ saña kacaw günyah et-se =wa,*  
 you.GEN brother-2SG.POSS you.DAT against sin do-CON =WA  
*bar-ıb aña açık et.*  
 go-CNV he.DAT make clear.IMP  
 ‘If your brother sins against you, go and tell him (his fault).’

In addition, the presence of an interrogative intonation, as shown in (24), does not necessarily indicate that it is a request to others. (24) is a hypothetical question in a soliloquy.

- (24) (Alice thinks as she sees ‘Drink me’ written on the bottle.)  
*öl-ür ot=e-se =wa?*  
 die-AOR plant=COP-CON =WA  
 ‘What if it was a poisonous plant?’

Thus,  $=(w)a$  can be broadly attached to conditional clauses, and the  $-sA=(w)a$  construction does not necessarily represent a request.

#### 4. A new interpretation of the function of $=(w)a$

As seen in previous sections,  $=(w)a$  is not simply an element that is used to represent a contradictory conjunctive relation or used in sentences that express a request. Therefore, the function of  $=(w)a$  is reconsidered here.

First, let us consider (19) and (20). In these, a new information is provided for the elements appearing in the previous sentence (i.e., *cabıw* ‘curtain’ and *kağıt-çık* ‘paper’). This means that the topics in these sentences are *cabıw* ‘curtain’ and *kağıt-çık* ‘paper’, with  $=(w)a$  attached to them. Here, a topic is defined as follows, drawing on Lambrecht (1994: 117–171): something identifiable by the discourse participants, for which new information is added by the predicate. Lambrecht (1994: 165–167) also states that the elements that have already appeared in the discourse are identifiable and can become topics, and even if they have not appeared in the discourse, they can become topics if they are identifiable through remembering, inference, or other cognitive tasks.<sup>4</sup>

*cabıw* ‘curtain’ and *kağıt-çık* ‘paper’ in (19) and (20) are identifiable because each appears in a previous sentence, and they are topics because the new information concerning each are added by the predicate. From this point of view,  $=(w)a$  can be considered to attach to topics. As a fact that supports the idea that  $=(w)a$  is attached to topics, the language

<sup>4</sup> Lambrecht (1994: 165–167) refers to elements that have already appeared in the discourse as “active” and divides elements that have not appeared in the discourse but are identifiable through cognitive tasks into two groups: “accessible” and “unused,” based on the degree of cognitive effort required for identification.

consultant commented that  $=(w)a$  does not co-occur with wh-words (i.e., unidentifiable elements) and cannot be used for things that are being noted for the first time at the time of utterance (see (25) and (26) below).<sup>5</sup>

(25) \**kim =wa kel-di?*  
 who =WA come-PST  
 ‘Who did come?’

(26) \**Aa, şamsiye =wa blay-da=di!*  
 ah umbrella =WA here-LOC=3  
 ‘Ah, here’s the umbrella I was looking for.’

We can thus say that  $=(w)a$  is attached to identifiable elements, that is, to topics. However, the language consultant also noted that  $=(w)a$  is not used to start a conversation on a topic or to continue one about a previously established topic as shown below.

(27) At the beginning of a conversation with a neighbor.  
 #*bukün =a hawa igi=di.*  
 today =WA whether good=3  
 ‘Nice weather today.’

(28) *men otuz cıl-da=ma men (\*=a) Ankara-lı=ma.*  
 I 30 year-LOC=1SG I =WA PN-with=1SG  
 ‘I am 30 years old and I am from Ankara.’

From this, we can say that  $=(w)a$  can be attached to a new topic as the topic of the discourse shifts from one to another. In fact, in (19) and (20), the topic has shifted to *cabıw* ‘curtain’ and *kağıt-çık* ‘paper’ which appeared in the previous sentence. Thus,  $=(w)a$  can be thought of as a marker topic shift, and in this paper, we call such markers “topic shifters.”

As mentioned above, Lambrecht (1994: 165-167) assumes that various elements can be topic as long as they are identifiable from discourse or cognitive tasks. Drawing on this and on the fact that  $=(w)a$  is considered to be a topic shifter, we can say that topic shifting occurs not only in (19) and (20) but also in (17), (18) and examples in the previous studies as shown in (29) and (30) below. Note that in (29), and thereafter, I consider that the old

<sup>5</sup> A reviewer noted that the possibility should be eliminated that  $=(w)a$  is a mirativity marker and that  $=(w)a$  is a definite marker. We can say that  $=(w)a$  is not a mirativity marker because it is not used for things being noticed for the first time at the time of utterance, as shown in (26). Furthermore, if  $=(w)a$  is a definite marker, it can be expected that the definite element will always have  $=(w)a$ , but this is not the case,  $=(w)a$  is not a definite marker.

topic is something identifiable by the discourse participants, and new information for it is added from the predicate, based on the previous definition. In many cases, the subject of the sentence falls under such a definition, but there are some exceptions here, such as seen in (29b) and (29c). In (29b), *sirt-t-n sıla-rıǵ-ıñ* ‘that you pet the cat on the back’ is the syntactic subject, but I interpret that the back of a cat is the topic here, as this sentence semantically describes the back of a cat. In (29c), the subject of the previous sentence (i.e., *kağıt-çık* ‘paper’), is new information here, so it cannot be said to be the topic; therefore, I interpret that the adjunct part (i.e., *şeşa-çık-nı boyun-u-na* ‘round the neck of the bottle’) is the topic here.

(29) Topic shift in the examples in this section

a. (17) *ata söz-ü=wa*

Old topic: Father

New topic: Father’s word

b. (18) *Çiçhan-la-nı=wa*

Old topic: the back of a cat

New topic: mice

c. (19) *ol kağıt-çık-da=wa*

Old topic: Round the neck of the bottle

New topic: On the paper label

d. (20) *ol cabıw-nu art-t-nda=wa*

Old topic: Alice

New topic: Behind the curtain

(30) Topic shift in the examples in the previous section

a. (3) *seni=wa*

Old topic: he/she

New topic: you

b. (4) *endi=wa*

Old topic: then

New topic: now

- c. (5) *söleş-irge=wa*  
 Old topic: he/she  
 New topic: his/her speaking
- d. (6) *ız-ı bla=wa*  
 Old topic: first  
 New topic: after
- e. (7) *Osman-nı=wa*  
 Old topic: Aslan  
 New topic: Osman
- f. (8) *Muslimat=a*  
 Old topic: Roza  
 New topic: Muslimat
- g. (9) *Hızır=a*  
 Old topic: Aysel  
 New topic: Hızır
- h. (10) *kız=a*  
 Old topic: mother  
 New topic: daughter
- i. (11) *kızdır-ğan=a*  
 Old topic: the sun  
 New topic: being the sun's warming of the temperature
- j. (12) *sen=a?*  
 Old topic: I  
 New topic: you

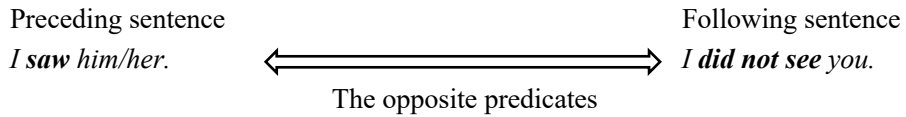
The situations in which it is desirable to indicate topic shifting are those in which the shifted topic is still identifiable but difficult to evoke, so that to help the hearer to understand discourse by indicating that the topic has shifted to such one. A typical contrastive sentence has two topics (e.g., topic A and B). It first describes topic A, then turns to another topic B, to show that B has opposite or different properties from A. Due to this sudden change in topic, we can say that the latter topic B is difficult for the hearer to evoke. Thus, it is

appropriate to indicate that the topic has shifted, and it have caused  $=(w)a$  to be used more frequently in contrastive sentences (see (31a)). We can say that contradictory conjunctive sentences are very similar to contrastive sentence, in that it discusses the opposite matter to what could be predicted from the preceding sentence (see (31b)). Due to this similarity to the contrastive sentence, we can say that  $=(w)a$  is also used more frequently in contradictory conjunctive sentences.

(31) a. Structure of typical contrastive sentences

e.g., *men anı kör-dü-m seni=**wa** kör-me-di-m.* cf. (3)

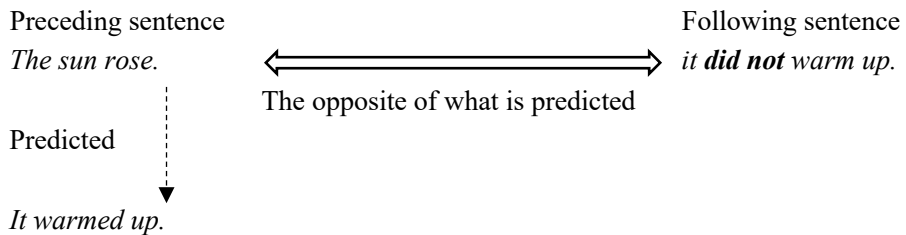
‘I saw him/her, but I did not see you.’



b. Structure of contradictory conjunctive sentences


e.g., *Kün tiy-di, kızdır-ğan=**a** et-me-di.* cf. (11)

‘The sun rose, but it did not warm up.’




Furthermore, by considering  $=(w)a$  as a topic shifter, we can explain why  $=(w)a$  can appear broadly in conditional clauses as exemplified in (22) to (24). Haiman (1978) argues that a conditional clause is a part of the knowledge shared between the speaker and hearer and constitutes the framework which has been selected for the following discourse (i.e., to define what the discourse is about): on that point, conditional clauses are topics. In other words, the topic of a sentence can be taken as an element described by the predicate, and the premise expressed in a conditional clause can also be understood as a topic described by the subsequent main clause, in the form of ‘As for this premise, we can say. . .’ (see (32)).<sup>6</sup>

<sup>6</sup> According to Kamei et al. (2019: 126), a conditional clause can also be considered a topic based on the functional sentence perspective, a grammatical theory that divides a sentence into two parts: 1) topic and 2) comment.

(32) a. Topic and predicate: John<sub>TOPIC</sub> is a student<sub>COMMENT</sub>  
 Comment

b. Conditional clause and main clause:

If it does not rain<sub>CONDITIONAL</sub>, we will play the match<sub>MAIN</sub>  
 Comment

If it rains<sub>CONDITIONAL</sub>, the match is cancelled<sub>MAIN</sub>  
 Comment

Simply put, the premise expressed in the conditional clause is a kind of topic for a sentence. Therefore,  $=(w)a$ , which follows a new topic easily follows a conditional clause, as shown in (33). Note that, as shown in (33b), the old premise (i.e., old topic) may not be uttered and may exist only in context.

(33) a. (22) *Buğa-nı Mazan korat-ma-y, başha adam korat-han=e-se=wa*

Old topic (premise): Mazan stole a cow.

New topic (premise): the other person stole a cow.

b. (24) *öl-ür ot=e-se=wa?*

Old topic (premise): e.g., it is not a poisonous plant. (From the fact that the drink is made to look like a safe drink with a note that says ‘Drink me.’)

New topic (premise): it is a poisonous plant.

The  $-sA=wa$  construction in the previous studies was considered to express requests. All the sentences that in the previous study have interrogative intonation (see (13) and (14)). Interrogative intonation is used to request a response from the hearer (cf. Kori 2020: 142). The request usage in the previous studies is thought to be caused by indicating a new premise (although able to come up with and identifiable) to the hearer, accompanied by an interrogative intonation, thereby prompting the hearer to react on that premise.<sup>7</sup>

<sup>7</sup> This section demonstrated that the topic shifter  $=(w)a$  can be used in a contradictory conjunction sentence and that conditional clauses can be regarded as a topic. This close relationship among topic, contradictory conjunction, and conditional clause is also noted in other languages. For example, Japanese uses a contradictory conjunction marker (including, *shikashi* ‘but’ and *=kedo*) to express topic shifting (see (i) and (ii) below). Furthermore, conditional clauses are used to denote topics in Japanese (see (iii) below).

(i) *shikashi atsu-i=des-u=ne*  
**but** hot-NPST=COP-NPST=SFP  
 ‘By the way, today is a hot day.’ [Digital Kojien (Japanese dictionary) 7th edition]

- (34)<sup>8</sup> a. (13) *biz-ge bir kesek boluř-sa-ğız=a?*  
 Old topic (premise): e.g., the hearer does not have to help. (From the fact that the hearer is standing as if he/she does not have to do anything.)  
 New topic (premise): you help us.
- b. (14) *ala=da mında kal-sa-la=wa?*  
 Old topic (premise): e.g., they leave here. (From the fact that the other people said, ‘they leave here.’)  
 New topic (premise): they stay here.

### 5. The difference between =(w)a and =e-se

The previous section demonstrated that =(w)a in Karachay-Balkar is a topic shifter. However, in other Turkic languages, we can see that the conditional suffix *-sA* functions as a topic shifter. For example, Göksel and Kerslake (2005: 111, 401) call the Turkish =(y)-sA / =i-se (i.e., copula + conditional suffix) a “topic shifter (used for contrast)” and describe its topic-shifting and contrastive functions as shown in (35) below.<sup>9</sup>

- (35) =(y)-sA / =i-se in Turkish
- a. *Ahmet=i-se*  
 PN=COP-CON  
 ‘As for Ahmet’
- b. *Zehra-yı=y-sa*  
 PN-ACC=COP-CON  
 ‘As for Zehra (accusative)’

[Data from Göksel and Kerslake (2005: 111)]

- 
- (ii) *sakki hanashi-ta ken=des-u=kedo naimitsu=ni site kudasai=ne*  
 a little while ago talk-PST thing=COP-NPST=**but** secret=DAT do.CNV please=SFP  
 ‘As for what I told you a little while ago, please keep it a secret.’ [Iori et. al. (2000: 235)]
- (iii) *konpyuutaa=nara Akihabara=ga yasu-i=yo*  
 computer=CON PN=NOM inexpensive-NPST=SFP  
 ‘As for computer, you can buy inexpensive one in Akihabara.’ [Iori et. al. (2001: 335)]

<sup>8</sup> The old topics in these examples are based on comments by the language consultant on the question of the circumstances under which these are uttered.

<sup>9</sup> Hayasi (2019) provides a similar description.



- c. *Dede-m*                      *çok*    *zengin=miş*, *fakat* *ben=i-se*    *çok*  
 Grandfather-1SG.POSS very rich=EV.COP but I=COP-CON very  
*fakir=im*.  
 poor=1SG  
 ‘They say my grandfather was very rich, but as for me, I am very poor.’  
 [Data from Katsuda (2001: 83)]

Karachay-Balkar has  $=e-se$  corresponding to  $=i-se$  in Turkish. While detailed observations have not been made at this stage, we briefly discuss the differences between  $=(w)a$  and  $=e-se$  here.



In the obtained data, the following example clearly shows the difference between  $=(w)a$  and  $=e-se$ .

- (36) Conversation between brothers. The younger brother (YB) has homework on various subjects and asks his elder brother (EB) to help him.

- a. YB: *maña matematik kögüz-ür=mü=se?*  
 I.DAT mathematics teach-AOR=Q=2SG  
 ‘Can you teach me mathematics?’  
 EB: *fizik {=a / \*=e-se} kögüz-al-ır=ma.*  
 physics =WA /=COP-CON teach-POT-AOR=1SG  
 ‘If it’s physics, I can teach it.’
- b. YB: *ödev-im-e kara-r=mı=sa?*  
 homework-1SG.POSS-DAT see-AOR=Q=2SG  
 ‘Can you help me with my homework?’  
 EB: *fizik {\*=a / =e-se} kara-yal-ır =ma.*  
 physics =WA /=COP-CON see-POT-AOR=1SG  
 ‘If it’s physics, I can teach it.’

In both (36a) and (36b), individual subjects (e.g., mathematics, physics, social studies, history, etc.) may be the topic of conversation. In (36a), YB mentions mathematics from a range of subjects, which makes mathematics a topic. In response, EB shifts the topic from mathematics to physics. In such a case,  $=(w)a$  can be used, but  $=e-se$  cannot. On the other hand, in (36b), YB does not mention specific subject names, and EB mentions physics as a topic from among a range of subjects. In such a case,  $=e-se$  can be used, but  $=(w)a$  cannot.

These examples show that  $=(w)a$  is used when a topic already exists, and a speaker want to shift from that topic to another (see (37a)), while  $=e-se$  is used to select one of several potential topics in a conversation (see (37b)).


- (37) a. Where  $=(w)a$  can be used, but  $=e-se$  cannot. cf. (36a)  
 Potential topics: [mathematics<sub>OLD TOPIC</sub>, physics<sub>NEW TOPIC</sub>, social studies, history. . . ]  
 Topic shift 
- b. Where  $=e-se$  can be used, but  $=(w)a$  cannot. cf. (36b)  
 Potential topics: [mathematics<sub>TOPIC</sub>, physics, social studies, history. . . ]  
 Topic selection 

## 6. Concluding remarks

This paper proposes that in Karachay-Balkar  $=(w)a$  functions as a topic shifter and shows that usages denoted in the literature—contradictory conjunctions and requests—are derived from this function. Furthermore, this paper clarifies the difference between  $=(w)a$  and  $=e-se$ . However, there are still many unknowns in the usage of  $=(w)a$ ; for example, the language consultant commented that  $=(w)a$  can be used to denote the meaning of ‘at least’ as shown in (38) below. This usage was not described in the literature.

- (38) *Bu iş-ni et-erge dört kişi =wa kerek=di.*  
 this work-ACC do-NML four people =WA need=3  
 ‘We need at least four people for this job.’

The reason that  $=(w)a$  can be used in (38) may be that this sentence has scalar implications and implies an opposite matter and premise, that is, ‘If there were three people, we could not do this job, but if there were (at least) four people, we could do it,’ as shown in (39) below:

- (39) Structure of sentences using  $=(w)a$  in the sense of ‘at least’  
 e.g., *Bu iş-ni et-erge dört kişi=wa kerek=di.* cf. (38)  
 ‘We need at least four people for this job.’
- |  |                                   |
|--|-----------------------------------|
| Preceding sentence (implied)           | Following sentence                |
| If (topic): three people               | If (topic): four people           |
| Then: we <b>could not</b> do this job. | Then: we <b>could</b> do this job |
-   
 Opposite predicates

However, a detailed investigation is yet to be conducted. Furthermore, this paper used the sentence structure similarity and anti-similarity to determine if a sentence is contrastive. Because these concepts were initially proposed in a study of Japanese, their applicability to other languages, including Karachay-Balkar, remains in question. Further research is needed on these points.

### Abbreviations

-	suffix boundary	IMP	imperative
=	clitic boundary	LOC	locative
1	first person	NEG	negative
2	second person	NML	nominalizer
3	third person	NOM	nominative
ACC	accusative	NPST	nonpast
ADD	additive	ORD	ordinal
AOR	aorist	PASS	passive
AUX	auxiliary	PERF	perfect
CNV	converb	PL	plural
CON	conditional	PN	proper noun
CONS	consessive	POSS	possessive
COP	copula	POT	potential
DAT	dative	PST	past
DIM	diminutive	Q	question marker
EV	evidential marker	SFP	sentence final particle
FUT	future	SG	singular
GEN	genitive	WA	=(w)a

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